

**2. Specific questions:**

No.	I. Company Name	II. Managing Company	III. Business Unit	IV. Shareholders	V. Country	VI. Operation
Anglo American Managed Operations						
40	Anglo American	Anglo American	IOB	Anglo American	Brazil	Minas Rio

1. "Tailings Facility" Name/identifier	2. Location	3. Ownership	4. Status	5. Date of initial operation	6. Is the Dam currently operated or closed as per currently approved design?
Serro da Sapo Tailings Dam	Latitude: 18°51'39" S Longitude: 43°24'17" W	Owned and Operated	Active	2014	Yes

7. Raising method	8. Current Maximum Height (m)	9. Current Tailings Storage Impoundment Volume (m <sup>3</sup> )	10. Planned Tailings Storage Impoundment Volume in 5 years time (m <sup>3</sup> )	11. Most recent Independent Expert Review	12. Do you have full and complete relevant engineering records including design, construction, operation, maintenance and/or closure.	13. What is your hazard categorisation of this facility, based on consequence of failure?	14. What guideline do you follow for the classification system?	15. Has this facility, at any point in its history, failed to be confirmed or certified as stable, or experienced notable stability concerns, as identified by an independent engineer (even if later certified as stable by the same or a different	16. Do you have internal/in house engineering specialist oversight of this facility? Or do you have external engineering support for this purpose?	17. Has a formal analysis of the downstream impact on communities, ecosystems and critical infrastructure in the event of catastrophic failure been undertaken
Downstream	41.5	43M	aprox. 135M (2024)	2018 & 2019 See Q20 for more information	Yes	Major	Anglo American Technical Standard (AA TS 602 001)	No	Both. Qualified, specialist operations team and Engineer of Record.	Yes, 2016

18. Is there a) a closure plan in place for this dam, and b) does it include long term monitoring?	19. Have you, or do you plan to assess your tailings facilities against the impact of more regular extreme weather events as a result of climate change, e.g. over the next two years?	20. Any other relevant information and supporting documentation. Please state if you have omitted any other exposure to tailings facilities through any joint ventures you may have.
Yes (conceptual level), Yes	Yes.	<p>Q7: Dam is constructed as an earthfill (water retaining) type of a dam, with downstream sequential raising.</p> <p>Q11: September, 2018 - External Audit by engineering firm; January, 2019 - Technical Review Panel Report</p> <p>Q14: Anglo American Technical Standard AA TS 602 001 applied and implemented.</p> <p>Q19: Brazilian legislation requires complete dam safety reviews every 2, 3 or 5 years, according to the dam's classification. An update of hydrological data is part of the scope of the DSR. Also, Anglo American long term Sustainable Mining Plan requires this to be done for all managed sites within the next 2 years.</p>